

**Viatrix Inc.**

**CHARTER OF THE COMPLIANCE COMMITTEE**

**Effective as of November 16, 2020**

**Authority:**

The Board of Directors (the “**Board**”) of Viatrix Inc. (the “**Company**”) has established the Compliance Committee (the “**Committee**”) and has adopted this Committee Charter (this “**Charter**”).

This Charter defines the duties and responsibilities of the Committee.

**Purpose:**

The Committee shall assist the Board in fulfilling its fiduciary responsibilities by overseeing the Chief Compliance Officer’s implementation of the Company’s Corporate Compliance Program, reporting to the Board from time-to-time as to the status of the Corporate Compliance Program, and, as appropriate, making recommendations to the Board and/or management with respect to the formulation or re-formulation of, and the implementation, maintenance and monitoring of, the Corporate Compliance Program, the Code of Business Conduct and Ethics, and significant related global policies designed to support and promote compliance with Company requirements, and legal rules and regulations (the “**Program**”).

**Membership:**

The Board shall appoint a minimum of three directors to serve on the Committee and shall designate one of the Committee members to serve as the Chair of the Committee, provided that the Board shall not make any appointment or designation unless approved by at least a majority of the independent directors (as defined in the applicable NASDAQ listing standards). Each member of the Committee shall be independent, as determined in accordance with the rules of NASDAQ as in effect from time-to-time.

Vacancies on the Committee shall be filled by a vote of the Board, provided that the Board shall not fill any vacancy on the Committee unless approved by at least a majority of the independent directors. The Board may remove a member of the Committee, or appoint a member of the Committee, at its discretion, provided that the Board shall not remove any member of the Committee or appoint a member of the Committee unless approved by at least a majority of the independent directors.

Any member of the Committee may resign therefrom at any time by delivering a letter of resignation to the Chair of the Board, with a copy to the Lead Independent Director (if applicable), the Chair of the Committee, and the Secretary of the Company. Any such resignation shall take effect at the time specified therein, or, if the time when it shall become effective shall not be specified therein, then it shall take effect immediately upon its receipt by the Chair of the Board, unless the Chair of the Board determines otherwise. Unless otherwise specified therein, the acceptance of such resignation shall not be necessary to make it effective.

### **Committee Resources:**

The Committee shall have the authority to select, retain, and supervise any advisors as the Committee deems necessary, in its sole discretion, to fulfill its mandates under this Charter. The Committee also shall have the authority to compensate, at the expense of the Company, such advisors and employ such resources as are necessary to fulfill its mandates under this Charter.

### **Committee Meeting and Action:**

- (a) A majority of the members of the Committee may establish rules of procedure in addition to those set forth in this Charter;
- (b) The Committee shall meet a sufficient number of times in order to carry out the requirements of this Charter, but no less than quarterly, and more frequently as needed in its discretion or as requested by the Board;
- (c) Meetings of the Committee shall be scheduled and held at such times and places as agreed upon by the Committee members;
- (d) Meetings may be called by the Chair of the Committee or by a majority of the members of the Committee;
- (e) The Committee may hold meetings in person or via telephone or videoconference;
- (f) A majority of the members of the Committee shall constitute a quorum;
- (g) Any action, other than the establishment of additional rules of procedure for the Committee, adopted by a majority of the Committee members present at a meeting at which a quorum is present, shall be an act of the Committee;
- (h) Any action required or permitted to be taken at any meeting of the Committee may be taken without a meeting if all members of the Committee consent thereto in writing, or by electronic transmission;
- (i) The Committee may, in its discretion, delegate any of its responsibilities to one or more subcommittees of one or more directors who are members of the Committee;
- (j) The Committee shall meet in separate executive sessions periodically (and at least twice annually) during regularly scheduled meetings, without any members of management present; and
- (k) The Committee or its designee shall prepare minutes of each meeting and shall cause such minutes, as approved by the Committee, to be made available for review by any member of the Board.

## **Duties and Responsibilities:**

The Chief Compliance Officer shall report to the Committee and to the Chief Executive Officer, and is responsible for the day-to-day management and implementation of the Program.

In connection with its oversight of the Chief Compliance Officer's implementation of the Program and its advice and recommendations to the Board, the Committee shall, in addition to any other duties or responsibilities the Board may from time-to-time delegate to the Committee:

- (a) Review significant global compliance-related policies implementing the Company's Code of Business Conduct and Ethics, or related to the operations of the Company's business, and its mode or methods of doing business, including, for example, policies relating to pricing and/or commercialization of the Company's products and services;
- (b) Review metrics used by management or requested by the Committee to provide insight into the status and efficacy of the Program, including the Company's global compliance systems and organization;
- (c) Review reports of significant actual and alleged violations of the Code of Business Conduct and Ethics, corporate policies and procedures, and applicable laws and regulations, including all reports of significant alleged violations by "executive officers", as that term is defined in Rule 3b-7 promulgated under the Securities Exchange Act of 1934, and any related disciplinary actions;
- (d) Review checks and balances implemented by the Company designed to support and promote compliance with approved corporate policies, legal rules, and regulations;
- (e) Review standards and procedures implemented by the Company designed to help reduce the potential for violation of corporate policies and legal rules and regulations, and the communication of such standards and procedures to employees and external agents as appropriate;
- (f) Review results of audits and assessments by and on behalf of the Corporate Compliance Group and, as appropriate, reports by Internal Audit, other global audit functions, and external consultants relating to matters within the scope of this Charter;
- (g) Review the performance, responsibilities, plans, and resources of the Chief Compliance Officer, including the appointment and replacement of the Chief Compliance Officer, and discuss the Chief Compliance Officer's performance with the Chief Executive Officer;
- (h) Oversee the Company's policies and procedures for corporate political and lobbying expenditures and make recommendations to the Board as appropriate;
- (i) Monitor relevant trends and developments in the area of corporate compliance;
- (j) Periodically report on the status and efficacy of the Program, the Committee's meetings, actions and recommendations to the Board, or as otherwise requested by the Board; and

- (k) Review its performance and this Charter annually and recommend any proposed Charter changes to the Board.

Nothing in this Charter shall preclude the Board from itself taking any action set forth herein.